



RECEIVED

SEP 03 2002

Technology Center 2600

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/001,846	
	Filing Date	November 26, 2001	
	First Named Inventor	Weonwoo Kim	
	Group Art Unit	2651	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	6	Attorney Docket Number	155634-0115 (P092)

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	44 References
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

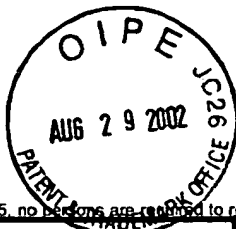
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ben J. Yorks, Esq. Reg. No. 33,609 IRELL & MANELLA LLP
Signature	<i>Ben Yorks</i>
Date	August 26, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:		August 26, 2002
Typed or printed name	Aimee V. Hilado	
Signature	<i>Aimee V. Hilado</i>	Date August 26, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RECEIVED

SEP 03 2002

Technology Center 2600

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 00.00

Complete if Known

Application Number	10/001,846
Filing Date	November 26, 2001
First Named Inventor	Weonwoo Kim
Examiner Name	Unknown
Group Art Unit	2651
Attorney Docket No.	155634-0115 (P092)

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

09-0946

Irell & Manella LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	00.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 00.00

SUBMITTED BY

Name (Print/Type) Ben J. Yorks

Registration No.
(Attorney/Agent)

33,609

Complete (if applicable)

Telephone (949) 760-0991

Signature

Date

August 26, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kim et al.

Application No.: 10/001,846

Filed: November 26, 2001

For: INSTALLATION OF HEATER INTO HARD DISK DRIVE
TO IMPROVE RELIABILITY AND PERFORMANCE AT
LOW TEMPERATURES

Examiner: Unknown

Art Group: 2651

RECEIVED

SEP 03 2002

INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Dear Sir:

In accordance with Applicant's duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97-1.98, Applicant submits the enclosed Form PTO-1449, along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, and therefore, no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any fee due to Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

IRELL & MANELLA LLP

Dated: August 26, 2002

Ben Yorks
Ben Yorks, Reg. No. 33,609

840 Newport Center Drive
Suite 400
Newport Beach, CA 92660
Telephone: (949) 760-0991

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on August 26, 2002.

Aimee V. Hilado
Aimee V. Hilado

8/26/2002
Date

Docket No. 155634-0115 (P092)
Application No. 10/001,846

BJY:avh



Form PTO/SB/08A (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	10/001,846
				Filing Date	November 26, 2001
				First Named Inventor	Weonwoo Kim
				Group Art Unit	2651
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	155634-0115 (P092)

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
	1.	6,094,316		Pham et al.	07-25-2000	
	2.	5,961,658		Reed et al.	10-05-1999	
	3.	5,844,920		Zook et al.	12-01-1998	
	4.	5,781,133		Tsang	07-14-1998	
	5.	5,898,532		Du et al.	04-27-1999	
	6.	5,822,143		Cloke et al.	10-13-1998	
	7.	5,862,007		Pham et al.	01-19-1999	
	8.	5,566,101		Kodra	10-15-1996	
	9.	5,590,154		Forni et al.	12-31-1996	
	10.	5,497,111		Cunningham	03-05-1996	
	11.	5,367,409		Ottesen et al.	11-22-1994	
	12.	5,367,409		Ottesen et al.	11-22-1994	
	13.	5,388,127		Scarpa	02-07-1995	
	14.	5,301,080		Ottesen et al.	04-05-1994	
	15.	5,335,121		Bombeeck	08-02-1994	
	16.	5,228,429		Hatano	07-20-1993	
	17.	5,203,781		Bonati et al.	04-20-1993	
	18.	5,217,001		Nakao et al.	06-08-1993	
	19.	5,233,482		Galbraith et al.	08-03-1993	
	20.	5,368,015		Wilk	11-29-1994	
	21.	5,217,003		Wilk	06-08-1993	
	22.	5,217,453		Wilk	06-08-1993	
	23.	5,259,365		Nishikori et al.	11-09-1993	
	24.	4,996,975		Nakamura	03-05-1991	
	25.	5,078,714		Katims	01-07-1992	
	26.	5,125,888		Howard et al.	06-30-1992	
	27.	4,974,607		Miwa	12-04-1990	
	28.	4,887,605		Angelsen et al.	12-19-1989	
	29.	4,788,975		Shturman et al.	12-06-1988	
	30.	4,785,806		Deckelbaum	11-22-1988	
	31.	4,672,963		Barken	06-16-1987	
	32.	5,104,392		Kittrell et al.	04-14-1992	
	33.	4,621,618		Omagari	11-11-1986	
	34.	4,633,304		Nagasaki	12-30-1986	
	35.	4,573,452		Greenberg	03-04-1986	

RECEIVED
 SEP 03 2002
 Technology Center 2600

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO/SB/08A (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	10/001,846
				Filing Date	November 26, 2001
				First Named Inventor	Weonwoo Kim
				Group Art Unit	2651
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	155634-0115 (P092)

Technology Center 2600

SEP 03 2002

RECEIVED

	36.	4,572,198		Condington	02-25-1986	
	37.	4,790,813		Kensey	12-13-1988	
	38.	4,758,222		McCoy	07-19-1988	
	39.	4,601,705		McCoy	07-22-1986	
	40.	4,499,895		Takayama	02-19-1985	
	41.	4,875,897		Lee	10-24-1989	
	42.	4,343,300		Hattori	08-10-1982	
	43.	3,834,392		Lampman et al.	09-10-1974	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
	44.		EP 0079524 A1		Takamatsu	05-25-1983	
	45.						
	46.						
	47.						

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	48.	
	49.	
	50.	
	51.	

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.